

TM 3621

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Dated: April 13, 2005

Signature: 

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-020
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 18 2005
PATENT & TRADEMARK OFFICE
re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: S. A.
Cangialosi

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTION OVER A
NETWORK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

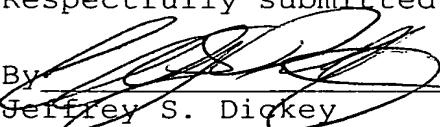
Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

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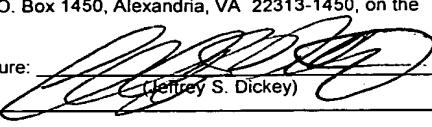
Dated: April 13, 2005

Respectfully submitted,

By: 

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CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(e) (2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, or, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: April 13, 2005

Respectfully submitted,

By 
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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020
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Complete if Known

Application Number	10/014,112
Filing Date	December 11, 2001
First Named Inventor	Harold A. Ludtke
Art Unit	3621
Examiner Name	S. A. Cangialosi

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US-2001/00449061-A1	11-22-2001	KANEVSKY et al.		
AD	US-5,280,527	01/1994	Gullman et al.		
AU	US-6,167,517	12-26-2000	Gilchrist et al.		
AV	US-6,269,348-B1	07-31-2001	Pare, Jr. et al.		
AW	US-6,270,011-B1	08-07-2001	Gottfried		
AZ	US-6,615,264-B1	09-02-2003	Stoltz et al.		
AA1	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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Examiner Signature	Date Considered
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